

# **Double unrelated umbilical cord blood versus HLA-haploidentical bone marrow transplantation (BMT CTN 1101)**

**(NCT 01597778)**

**Ephraim J. Fuchs, Paul V. O'Donnell, Mary Eapen, Brent R. Logan,  
Joseph H. Antin, Peter Dawson, Steven Devine, Mary M. Horowitz, Mitchell Horwitz,  
Chatchada Karanes, John Mageneau, Joseph McGuirk, Lawrence Morris,  
Andrew Rezvani, Richard J. Jones, and Claudio G. Brunstein**

**On behalf of the Blood and Marrow Transplant Clinical Trials Network**

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# Conflict of interest

Research funding: GamidaCell, Magenta, Astex, FateTherapeutics

Advisory Board: AlloVir

# Hypothesis

**As compared to dUCB, haplo-BM would have a 15% higher 2-year PFS.**

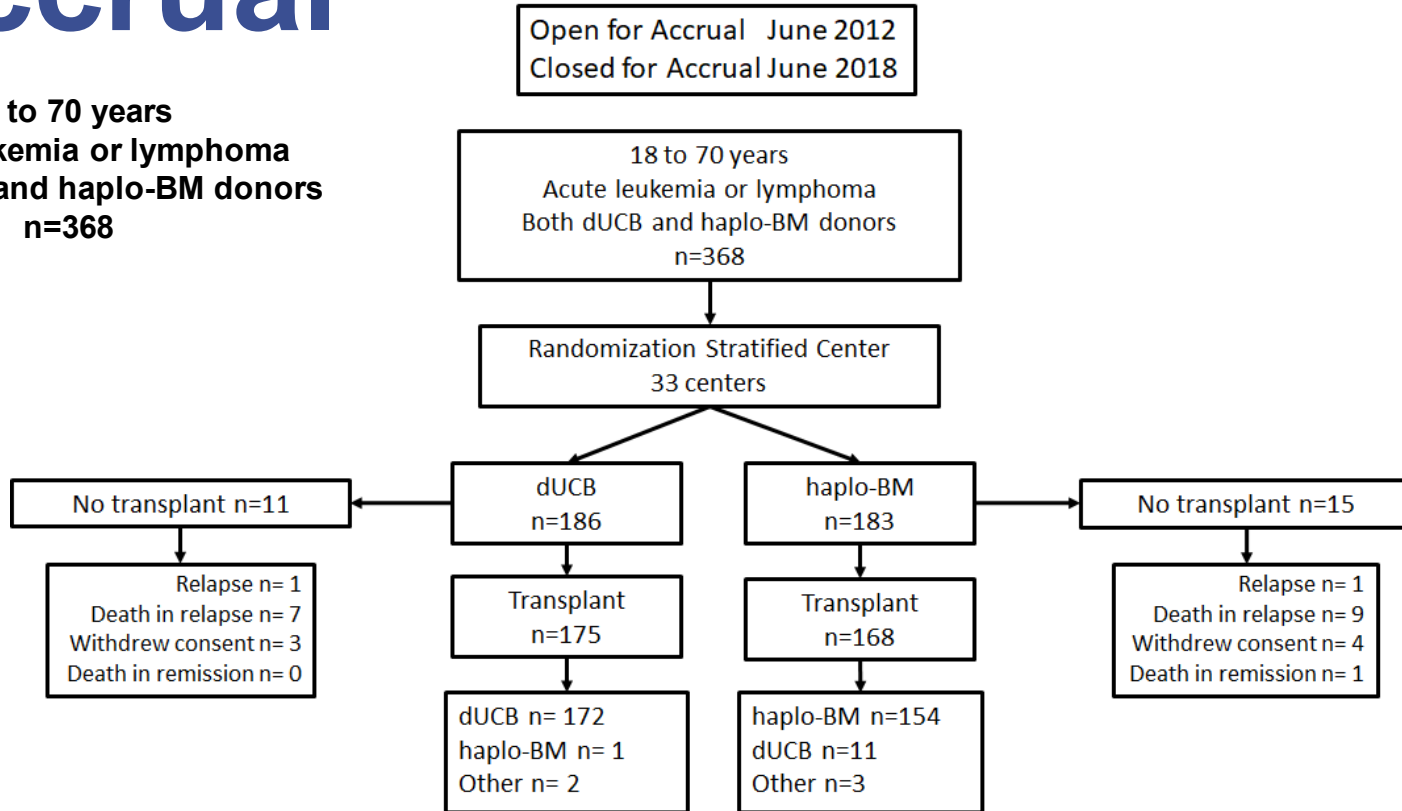
- **Null hypothesis: no difference in 2-year PFS between treatment arms**
- **Target sample size of 205 per group to maintain type I error of 5% while providing 80% statistical power for a two-sided test to detect a 15% increase in 2-year PFS in haplo-BM arm**

# Study Design

- **Phase III, randomized trial of RIC: dUCB versus haplo-BM**
- **Hematologic malignancy (acute leukemia / lymphoma)**
- **Primary endpoint: progression-free survival at 2 years**
- **Intent-to-treat analysis from time of randomization**

# Accrual

**18 to 70 years**  
**Acute leukemia or lymphoma**  
**Both dUCB and haplo-BM donors**  
**n=368**



# Characteristics: Acute Leukemia

	dUCB N = 186	haplo-BM N = 182
Disease status		
1 <sup>st</sup> complete remission	74%	85%
2 <sup>nd</sup> complete remission	26%	15%
≥3 <sup>rd</sup> complete remission	—	<1%
Cytogenetic risk		
Favorable	13%	15%
Intermediate	46%	41%
Poor	32%	33%
Not reported	10%	12%

# Characteristics: Lymphoma

	<b>dUCB N = 186</b>	<b>haplo-BM N = 182</b>
Disease status		
Complete response	39%	32%
Partial response	48%	57%
Follicular or other non-Hodgkin	14%	11%

# Graft Characteristics

	dUCB N=175	haplo-BM N=167
TNC infused, median, IQR	2.95 (1.85 – 4.32) x 10 <sup>7</sup> /kg	2.68 (1.87 – 3.63) x 10 <sup>8</sup> /kg
CD34 infused, median, IQR	1.30 (0.70 – 2.30) x 10 <sup>5</sup> /kg	2.87 (1.44 – 3.86) x 10 <sup>6</sup> /kg
CD3 infused, median, IQR	5.50 (1.90 – 8.20) x 10 <sup>6</sup> /kg	2.96 (2.24 – 4.28) x 10 <sup>7</sup> /kg



# Results by Intention-to-treat

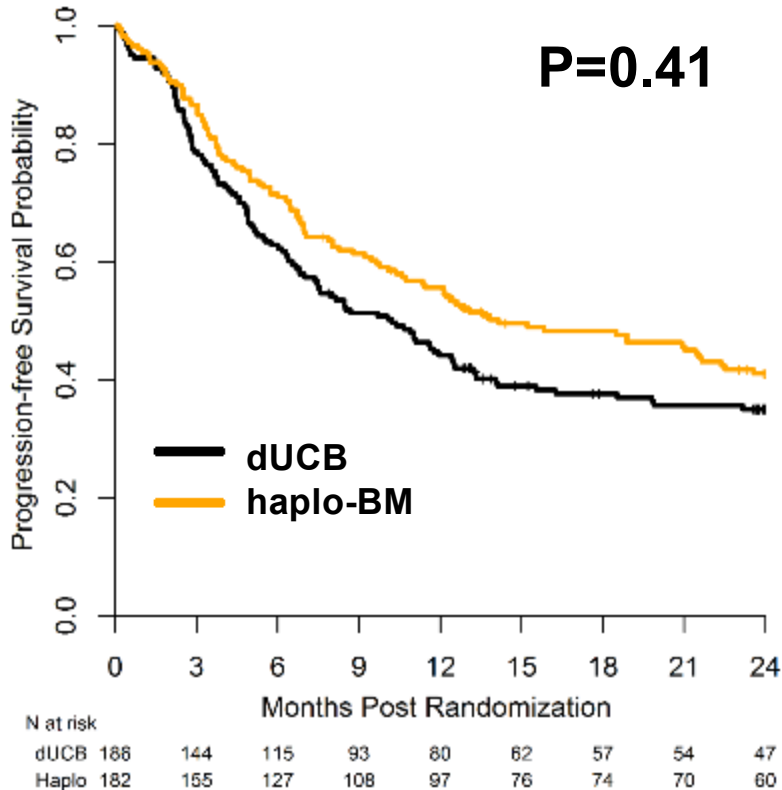
**from the time of randomization:**

- Progression-free-survival
- Treatment-related mortality
- Relapse/Progression
- Overall survival

**by treatment arm:**

- Neutrophil recovery
- Platelet recovery
- Acute GVHD
- Chronic GVHD

# Primary Endpoint –Progression-Free Survival at 2 yrs.



Intention-to-treat	dUCB	haplo-BM
Number of events	117	104
PFS at 2 yrs.	35%	41%
95% Conf. interval	28%-42%	34%-48%
Median follow-up	25 months	25 months
Range	20-26 months	23-25 months

**$\Delta=6.1%$  (95%CI -5% to 17%)**

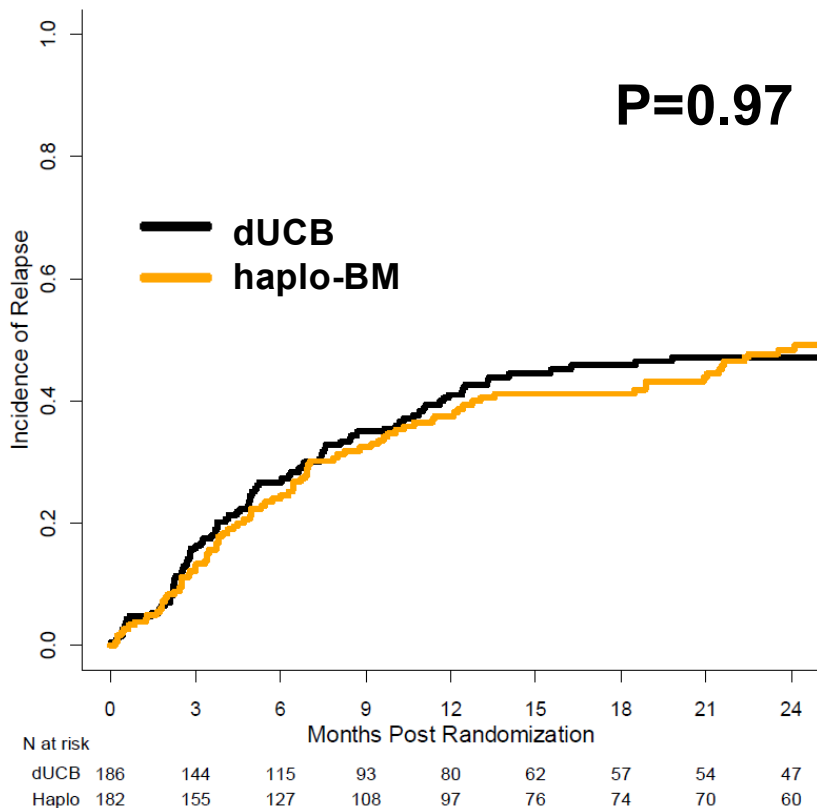
## Multivariate Analysis: Progression-free Survival

	Hazard Ratio (95% confidence interval)	P-value
Donor		0.060
haplo-BM	1.00	
dUCB	1.30 (0.99 – 1.70)	0.060
Adjusted for transplant center		
Donor		
Haplo-BM	1.00	
dUCB	1.27 (0.92-1.75)	0.162

## Multivariate Analysis: Progression-free Survival

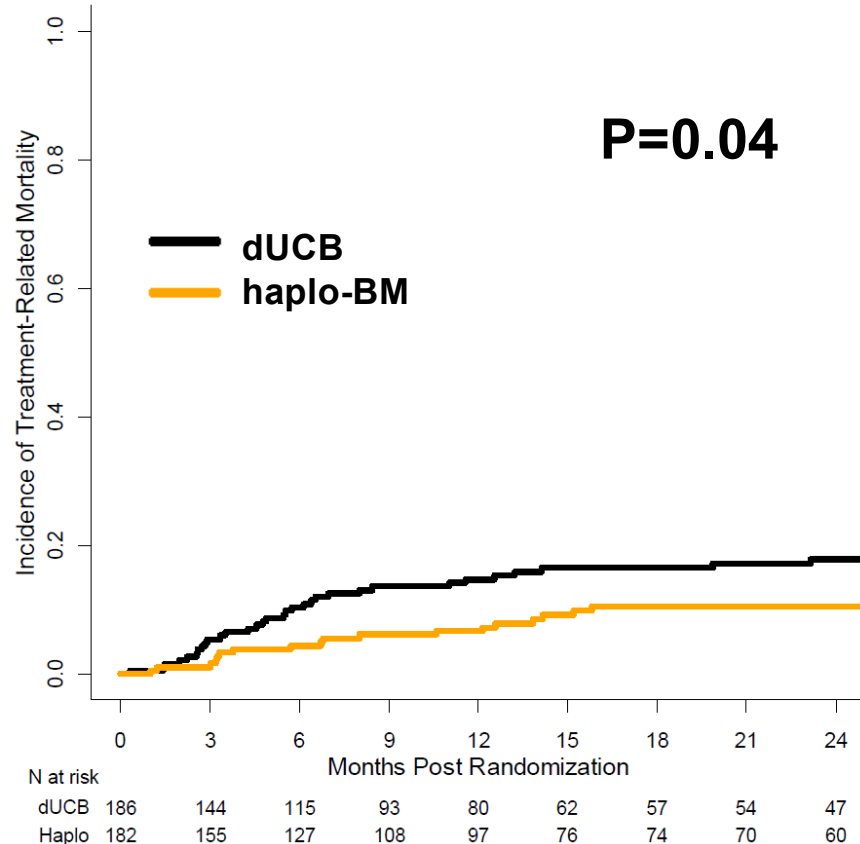
	Hazard Ratio (95% confidence interval)	P-value
Disease Risk		0.029
Acute leukemia, CR 1	1.00	
Acute leukemia, CR 2 and CR 3	0.91 (0.62 – 1.34)	0.64
Lymphoma, CR	0.58 (0.34 – 1.01)	0.053
Lymphoma, PR	1.51 (1.04 – 2.20)	0.032
Follicular lymphoma	0.82 (0.38 – 1.78)	0.621
Age, >59 years at randomization	1.00 (0.76 – 1.32)	0.979
Performance score, 90 – 100	1.05 (0.79 – 1.40)	0.742

# Cumulative Incidence of Relapse at 2 yrs.



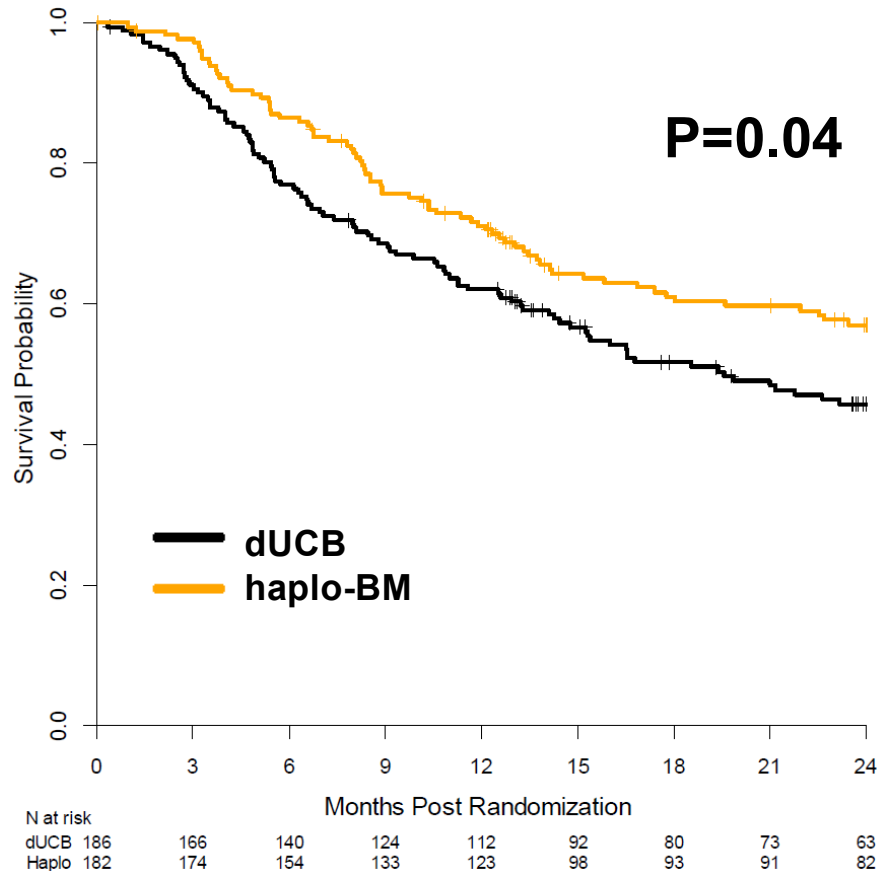
Intention-to-treat	dUCB	haplo-BM
Relapse at 2 yrs.	47%	48%
95% Conf. interval	40%-54%	41%-56%

# Cumulative Incidence of TRM at 2 yrs.



Intention-to-treat	dUCB	haplo-BM
TRM at 2 yrs.	18%	11%
95% Conf. interval	13%-24%	7%-16%

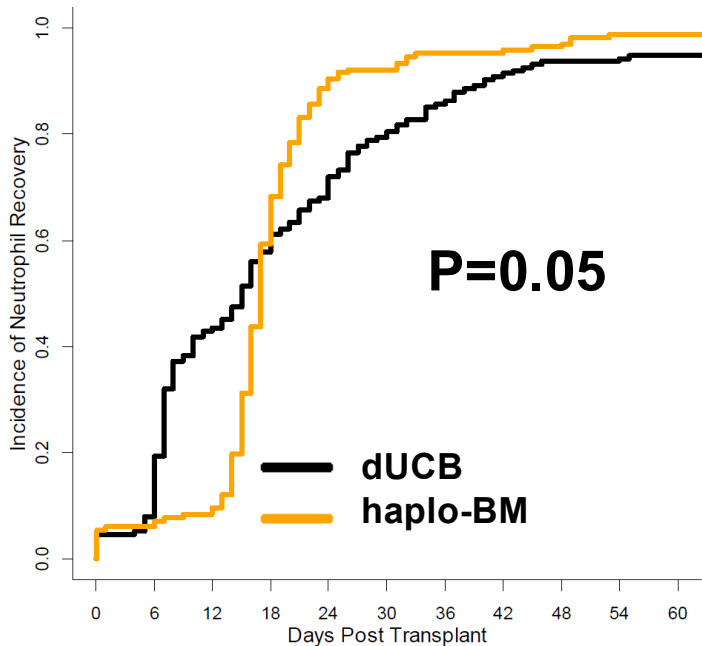
# Overall Survival at 2 yrs.



Intention-to-treat	dUCB	haplo-BM
Number of events	117	104
OS at 2 yrs.	46%	57%
95% Conf. interval	38%-53%	49%-64%

Main COD	dUCB	haplo-BM
Primary disease	55	46
Infections	16	13
Organ failure	14	8

# Neutrophil Recovery by Treatment Arm

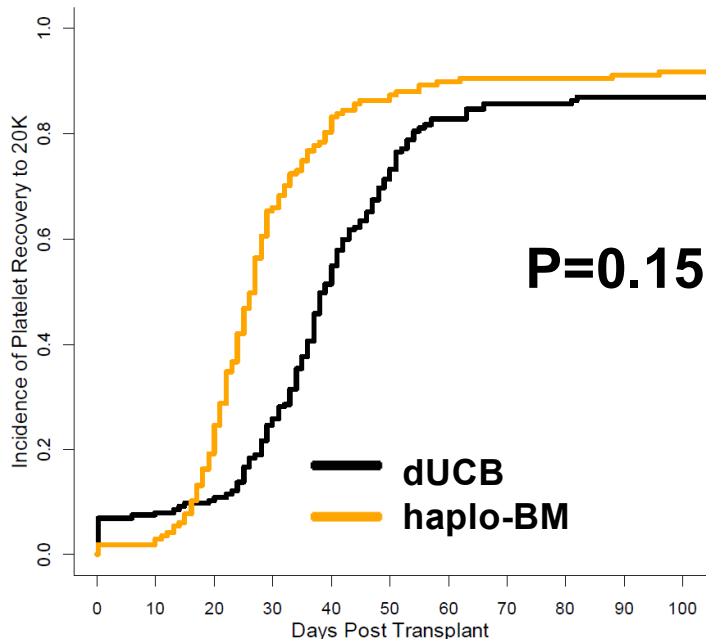


dUCB	175	160	99	73	55	34	22	12	7	7	5
Haplo	167	156	152	67	18	12	7	7	5	1	1

By treatment arm	dUCB	haplo-BM
Number of patients	175	167
Anc > 500	95%	99%
95% Conf. interval	90%-97%	94%-100%
Median in days	15 (r: 4-69)	17 (r:1-87)



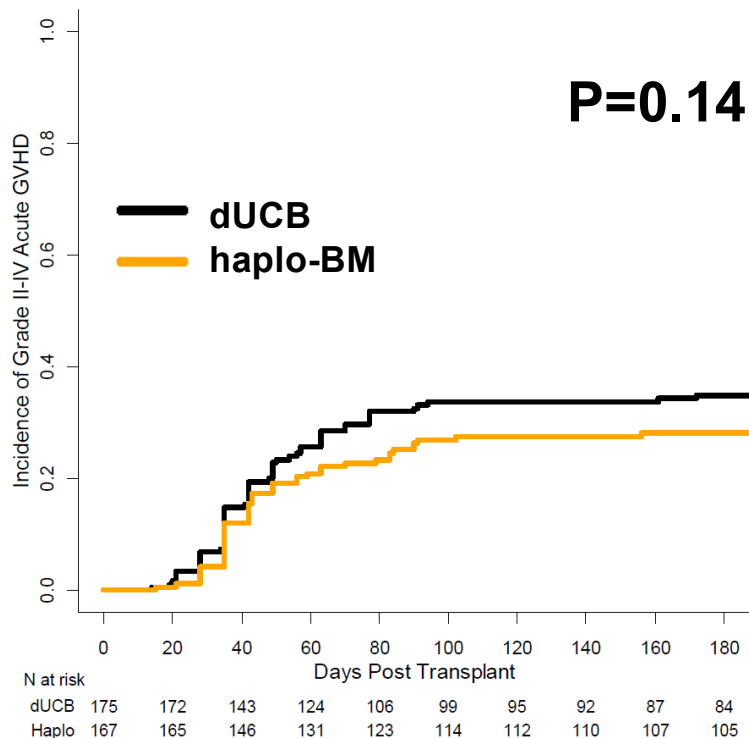
# Platelet Recovery > 20K by Treatment Arm



By treatment arm	dUCB	haplo-BM
Number of patients	175	167
PLT > 20K at 100days	78%	84%
95% Conf. interval	71%-84%	78%-89%
Median in days	42 (r: 7-147)	28 (r: 12-173)

dUCB	175	161	156	128	79	44	24	16	15	12	12
Haplo	167	163	134	57	32	21	15	14	13	12	11

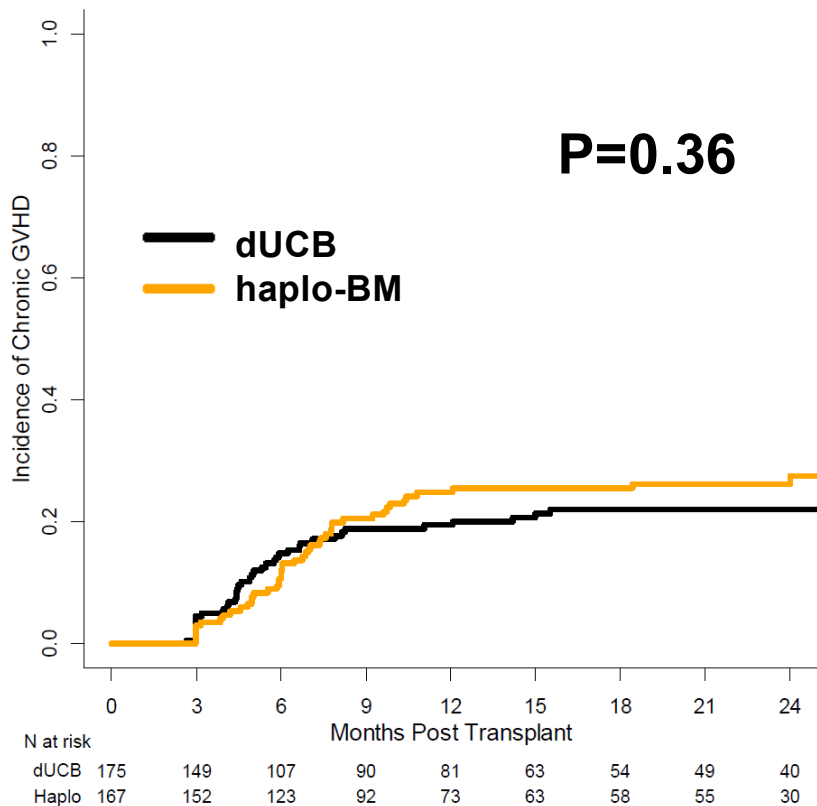
# Grades II-IV Acute GVHD at day +180 by Treatment Arm



By treatment arm	dUCB	haplo-BM
Number of patients	175	167
aGVHD at 180 days	35%	28%
95% Conf. interval	28%-42%	22%-35%

Grades III-IV	9%	7%
95% Conf. interval	5%-13%	4%-12%

# Chronic GVHD at 2 yrs. by Treatment Arm



By treatment arm	dUCB	haplo-BM
Number of patients	175	167
cGVHD at 2 yrs.	22%	26%
95% Conf. interval	16%-29%	20%-33%

# Summary

**No significant difference in PFS at 2-years between dUCB and haplo-BM with or without adjustment for transplant center.**

**Neutrophil recovery was faster in dUCB but higher incidence by day +60 in haplo-BM.**

**TRM was lower and survival was higher in haplo-BM.**

**There were no significant differences in relapse, grade II-IV or III-IV acute GVHD, and chronic GVHD.**

# Conclusion

**Among the 368 patients randomized on this study, no significant difference was observed in the 2-year PFS between the dUCB and haplo-BM arms suggesting both donor types extend access to transplantation.**

**Although the trial did not record the expected 15% difference in 2-year PFS between treatment arms in adults with hematologic malignancy, lower NRM and higher overall survival favor haplo-BM transplantation.**

# However

**Taking a closer look into the data...**

# CTN 1101 vs. The Community

## Research hypothesis:

- Outcomes from a contemporary registry study will approximate outcomes from a phase III randomized clinical trial

## Endpoints:

- Primary endpoint: Progression-free survival at 2-yr post-transplant
- Secondary endpoints: hematopoietic recovery, graft failure, acute and chronic GvHD, relapse, non-relapse mortality and overall survival

# CTN 1101 vs. The Community

	CTN1101		Non-CTN1101		
Donor Type	BM	dUCB	BM	PB	dUCB
No. of patients	157	185	319	409	147
No. of centers	29	31	40	73	38

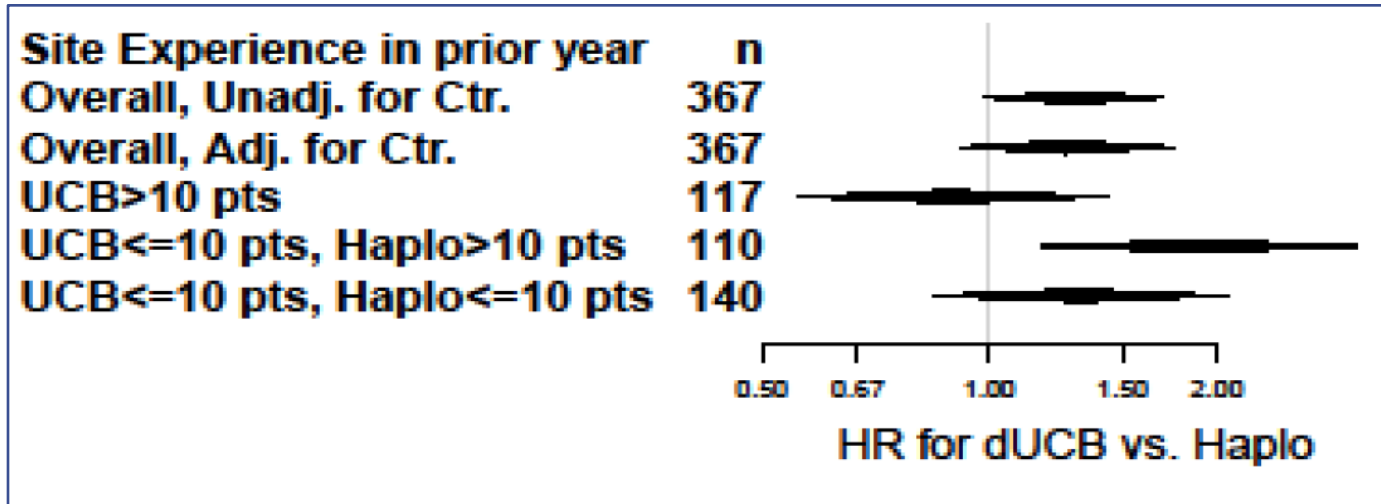


# Effect of Center Expertise

Progression-Free Survival	Hazard Ratio (95% confidence interval)	P-value
Adjusted for transplant center		
Haplo-BM	1.00	
dUCB	1.27 (0.92-1.75)	0.162

# Effect of Center Expertise

Center experience with Haplo and dUCB		
>10 UCB transplants	<=10 UCB transplants >10 haplo transplants	<=10 UCB transplants <=10 haplo transplants
(n=117, 10 centers)	(n=110, 2 centers)	(n=140, 21 centers)



# CTN 1101 Quality of Life

FACT-BMT: The Functional Assessment of Cancer Therapy – Bone Marrow Transplant subscale version 4.0 instrument: Physical Well-being, Social/Family Well-being, Emotional Wellbeing, and Functional Well-being.

MOS SF-36: The Medical Outcomes Study Short Form 36. Physical Functioning, Role Physical, Pain Index, General Health Perceptions, Vitality, Social Functioning, Role Emotional, and Mental Health Index.

Global HQL: Four standard questions to assessed patient self-assessed Karnofsky performance status, overall health and overall quality of life, (excellent, very good, good, fair, poor).

Occupational Functioning: Occupational functioning assessed current job status, type of work, number of hours of paid and unpaid work, school, importance of work and change in work goals.

EQ-5D: The EQ-5D collected data used to calculate patient-reported utilities for cost-utility analyses and contains a five item survey measuring mobility, self-care, usual activities, pain/discomfort and anxiety/depression.

# Cost Effectiveness Analysis

PI Scott Ramsey

- Single center studies suggested that early post-HCT cost after dUCB to be higher than haplo-BM
- Hypothesis: haplo-BM more cost effective than dUCB
- Plan: obtain 2-year cost data in CTN 1101 patients
- “Glitch”: insurance companies declined to provide data
- Solution: to cross reference Vizient and CIBMTR data

# Acknowledgements



National Heart, Lung,  
and Blood Institute



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BLOOD AND MARROW  
**TRANSPLANT**  
CLINICAL TRIALS NETWORK

**Patients & Families**

**BMT CTN Co-  
investigators &  
Transplant Centers**



**Emmes**